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Research Article

Growth of Vertically Aligned ZnO Nanowire Arrays Using Bilayered Metal Catalysts

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Vertically aligned, high-density ZnO nanowires (NWs) were grown for the first time on c-plane sapphire using binary alloys of Ni/Au or Cu/Au as the catalyst. The growth was performed under argon gas flow and involved the vapor-liquid-solid (VLS) growth process. We have investigated various ratios of catalyst components for the NWs growth and results indicate that very thin adhesion layers of Ni or Cu deposited prior to the Au layer are not deleterious to the ZnO NW array growth. Significant improvement of the Au adhesion on the substrate was noted, opening the potential for direct catalyst patterning of Au and subsequent NW array growth. Additionally, we found that an increase of in thickness of the Cu adhesion layer results in the simultaneous growth of NWs and nanoplates (NPs), indicating that in this case the growth involves both the VLS and vapor-solid (VS) growth mechanisms. Energy dispersive X-ray spectroscopy (EDX) and surface-enhanced Raman scattering (SERS) studies were also performed to characterize the resulting ZnO NW arrays, indicating that the NWs grown using a thin adhesion layer of Ni or Cu under the Au show comparable SERS enhancement to those of the pure Au-catalyzed NWs.

1. Introduction

One-dimensional zinc oxide (ZnO) nanowires (NWs) have been attracting much attention because they can be used to design novel nanoscale devices due to their wide band gap, high mechanical stability, and high isoelectric point [1–13]. Recently, several growth techniques to produce vertically aligned ZnO NW arrays have been studied, including the solution-based method [14] and vapor transport growth [15]. One of the well-established methods to grow nanowires is the vapor-liquid-solid (VLS) growth process, in which Au is used as the catalyst [16, 17]. In the VLS process of ZnO growth, the Au islands on the substrate serve as the nucleation sites for the condensation of Zn vapor from a nearby ZnO source, and then the ZnO NWs grow from the supersaturated liquid eutectic Zn-Au mixture.

Although vertical ZnO nanowire (NWs) arrays have been grown using pure Au catalyst [7], the use of these ZnO NW arrays in the design and fabrication of new plasmonic structures is limited due to the poor adhesion of Au on the required sapphire or GaN substrates, which is well known. Since there is very little information available on the metal catalyst adhesion issues for the growth of vertically aligned

ZnO NWs or the affects of using the bilayered metal catalysts for such growth, we have initiated such a study. Thus, we have investigated the use of adhesion layers of different metals, including Cu, Ni, Cr, Ti and their bi-layers with Au, in order to grow vertically aligned ZnO NWs on c-sapphire, which would be amenable to nanopatterning and further device fabrication. In the standard single metal species catalyst VLS process, a simple binary eutectic is employed, but it becomes much more challenging in the case of bilayered metal catalysts, in which a ternary eutectic may be formed. In our study, the vertical ZnO NWs were successfully grown via bilayered metal catalysts and further characterized by high-resolution SEM/TEM with energy dispersive X-ray spectroscopy (EDX) capability. Additional characterization techniques also showed that the NWs grown using certain thin adhesion layers did not change their optical or structural properties, a necessary condition for future plasmonic device designs and fabrication.

2. Experimental Details

The growth of the vertical ZnO NW arrays was carried out in a horizontal quartz tube. A mixture of ZnO:C

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14 ABSTRACT

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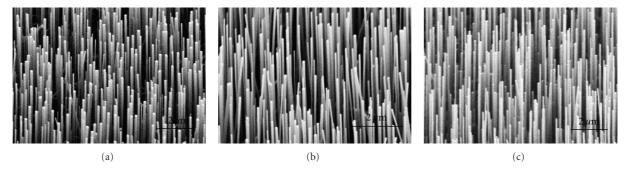


FIGURE 1: SEM images of vertical ZnO NWs grown using 1 nm Ni/5 nm Au (a), 1 nm Cu/5 nm Au (b), and 5 nm pure gold catalyst (c). Sample stage is tilted 45° while imaging.

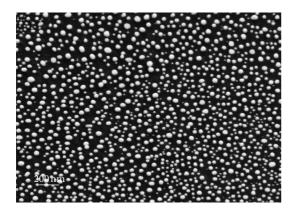


FIGURE 2: A representative SEM image of the particle sizes after the bi-layer metal thin films were deposited and annealed in a furnace, prior to the nanowires growth.

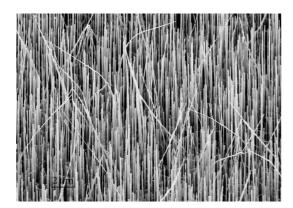


FIGURE 3: A typical SEM image of the nanowires growth with some extremely long nanowires.

(1:1 by weight) source powder was placed in one end of an alumina boat, and the c-sapphire substrate with the metal catalyst thin films was placed above, facing the source. The furnace was heated to 900°C while argon flowed through the tube. Pure Au, Cu, Ni, and alloys of Cu-Au, Ni-Au, Cr-Au, and Ti-Au were, respectively, deposited on separate c-sapphire substrates by an FC-2000 Temescal E-beam metals evaporation system, at an evaporation rate of 1 Å/s, and used

as the catalyst during the ZnO growth processes. The double-layer alloys were deposited by a two-step process. Firstly the sapphire was covered with a layer of Cu or Ni, which plays the important role of adhesion to the substrate. Secondly, the gold layer was deposited onto the Cu or Ni layer.

A LEO SUPRA 55 scanning electron microscope (SEM) with energy dispersive X-ray spectroscopy (EDX) capability was used to investigate the topographies and the chemical compositions of the NWs and the alloy tip formed during the growth process. The resulting NW samples that were used for the SERS analysis were as-grown samples, without any electron beam exposure in the SEM or EDX. For the lithography test process, a wraith 150 e-beam writer system and a standard procedure were employed to perform the e-beam lithography, and PMMA was used as the pattern writing resist.

For the surface-enhanced Raman spectroscopy (SERS) measurements, 8 nm of silver was deposited on the vertically aligned ZnO NWs using an FC-2000 Temescal E-beam metals evaporation system, at an evaporation rate of 0.5 Å/s. The samples were then immersed in a solution of benzenthiol (10^{-3} M) for 6 h and dried for SERS analysis. The SERS of the vertical NWs array samples was performed utilizing a Delta Nu system which consists of an Olympus Microscope and a Raman spectrometer equipped with a thermoelectrically cooled CCD. The 785 nm line of a Ti: Sapphire laser was used as the excitation source to detect the SERS. The microscope utilized a 50X 0.75 NA objective for focusing the laser light. The spectra were collected with a laser power of 6 mW at the sample, and the laser spot size was about 2 μ m.

3. Results and Discussion

The representative scanning electron microscope (SEM) images of bi-layers of 1 nm Ni/5 nm Au, 1 nm Cu/5 nm Au, and pure Au-catalyzed ZnO NWs grown on c-sapphire are shown in Figures 1(a), 1(b), and 1(c), respectively. As can be seen, the successful growth of vertical ZnO using Cu/Au and Ni/Au bi-layers is clear, and these arrays exhibited the same morphology as the NWs grown by a pure gold catalyst (Figure 1(c)). The NWs display a highly vertical alignment on the c-sapphire substrate and an average diameter between 50–150 nm, depending on the thickness of the catalyst [18].

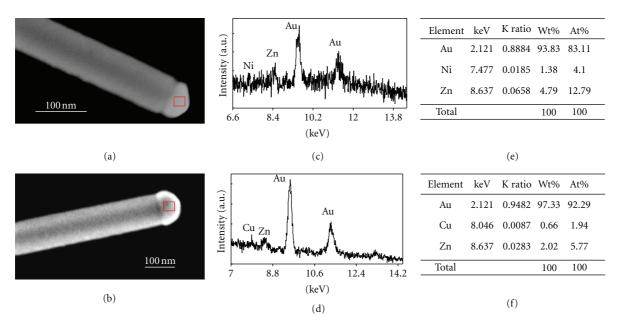


FIGURE 4: High-resolution SEM images of Ni/Au-catalyzed (a) and Cu/Au-catalyzed (b) ZnO single NW. EDX analysis patterns (c and d) of the alloy tip as shown in the red rectangle areas of (a) and (b). Composites analysis reports of tip alloy (e and f).

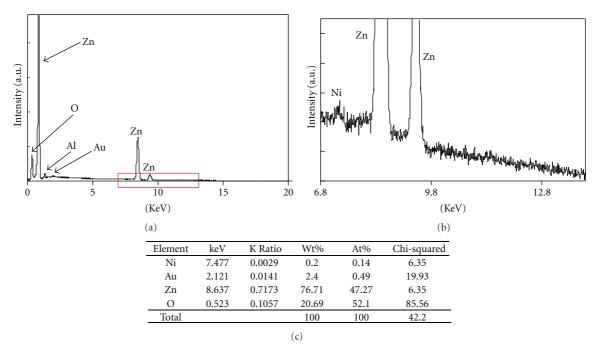


FIGURE 5: Representative energy dispersive X-ray (EDX) spectroscopy of ZnO NWs grown on sapphire with catalysts of 1 nm Ni/5 nm Au alloy (a), a close-up plot (b) of the red rectangle region, and (c) a corresponding composition analysis of the large area of ZnO NWs.

Actually the catalyst particles are not fairly similar in size in the case where we deposited thin film bi-layers, which resulted in the random nanowires growth. We studied the particle sizes after the bi-layer metal thin films were deposited and annealed in a furnace, prior to the nanowires growth. A representative SEM image is shown in Figure 2, clearly demonstrating the inhomogeneity of the particles size, which leads to a huge size distribution in diameter. The particle sizes

were much more uniform though if the bi-layer thin films were first patterned using e-beam lithography. However, our study was mostly concerned with adhesion as the first step before e-beam lithography, so most of our samples were grown using unpatterned bi-layer thin films as the catalysts. The lengths of these NWs can be varied from several hundreds of nanometers to longer than ten micrometers by adjusting the growth and gas flow time. In fact, there is

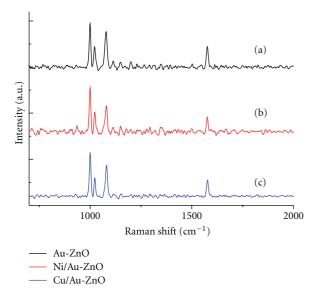


FIGURE 6: Surface-enhanced Raman spectroscopy (SERS) of vertical ZnO NWs grown using (a) pure gold, (b) Ni/Au alloy, and (c) Cu/Au alloy.

some relation between alignments with the length of the nanowire. If the nanowires grow extremely long (longer than 20 micrometers), the top of the nanowires begins to bend and cannot keep the vertical alignment, as shown in Figure 3. We have also found that the growth condition for the aligned ZnO NW arrays has to be optimized by balancing the amount of all vapor phases in the system. In addition, the oxygen content in the system also plays an important role during the growth process [19]. Since, in our case, the base vacuum of the system was around 50 mTorr, which provided sufficient oxygen partial pressure, only argon gas was introduced to the system at a rate of 45 mL/min during the whole growth process.

The NWs as grown on sapphire were further analyzed by energy dispersive X-ray spectroscopy (EDX) to confirm the successful growth and stoichiometry of the ZnO NWs. The EDX signal intensities are comparable to the Zn signal strength obtained from the NWs grown via pure Au, demonstrating the high density of NWs in these cases. To investigate the detailed information of the grown NWs with Ni/Au or Cu/Au bilayered catalysts, we performed highresolution SEM and EDX investigations on a single NW and its alloy tip. As shown in Figures 4(a) and 4(b), the metal alloy tip was clearly observed at the end of NW, indicating that the ZnO NW growth involves the vapor-liquid-solid (VLS) mechanism. Further EDX analyses of these metal tips (the red rectangle area shown in Figures 4(a) and 4(b)) at high magnification demonstrate that the tips are indeed ternary composites of Cu/Au/Zn or Ni/Au/Zn and are not simpley an Au-Zn binary. As shown in Figures 4(c), 4(d), 4(e), and 4(f), the EDX pattern and the data analysis clearly indicate the presence of the ternary alloy during the ZnO NWs VLS growth process. In addition, the surface of the NW is smooth and no segregation was observed, which is in good agreement with our expectation based on Cu/Au or Ni/Au

phase diagrams. Specifically in the case of the Cu/Au or Ni/Au system, the phase diagrams indicate a continuous solid solution exists at the temperatures of interest in our work, so no segregation was expected. Although the phase diagram of Cu/Au also indicates a series of solid state transformations at much lower temperatures, the data indicate that they are only stable at a very narrow temperature window significantly below our growth temperature.

It is noted that less amount of Ni is observed in the tip than those initial catalyst composites as deposited. We attributed this observation to the loss of Ni by diffusion into the growing ZnO NW, since kinetics also plays an important role and it is well established that Ni is a fast diffuser in many systems. This supposition is further confirmed by using SEM/EDX to scan a large area of NWs. Figures 5(a) and 5(b) show the representative EDX curves obtained on a large area of ZnO NWs grown by 1 nm Ni/5 nm Au catalysts and a close-up plot of Ni element region. A Ni signal can be recognized clearly, and NWs composites analysis was shown in Figure 5(c), demonstrating that the ratio of Ni to Au is 0.14 to 0.49. This value is very close to the original layer composites produced by e-beam deposition, clearly indicating the diffusion of Ni during the ZnO NW growth process.

Figure 6 shows representative surface-enhanced Raman spectroscopy (SERS) spectra of benzenthiol measured on the NWs grown using Au, Cu/Au, and Ni/Au bi-layer catalysts, respectively. The major Raman peaks at 1000, 1023, 1081, and 1576 cm⁻¹ can be assigned to symmetric ring breathing, in-plane C-H bending, wagging of the CH2 groups, and in-plane C-C stretching modes, respectively, of the phenyl ring from benzenthiol [20-23]. As can be seen, the Cu/Au or Ni/Au bi-layer catalyzed NWs result in a comparable SERS enhancement factor as that of the pure Au-catalyzed NWs, indicating that the plasmon properties of the bilayered metal-catalyzed ZnO NW arrays are not affected. In order to produce arrays with 50 nm diameters and with wire-to-wire spacing on the order of 10–50 nm for plasmonic applications, e-beam lithography is necessary, in which the use of an adhesion layer is critical. In addition, this study also provides a useful way for any other nanodevice fabrications based on the patterned ZnO NWs arrays. More characterization indicated that the optical proeperties as revealed by roomtemperature photoluminescence (PL) property of these Ni/Au or Cu/Au bi-layer catalyzed NWs are comparable to those of the Au-catalyzed NWs, further demonstrating the feasibility of growing high-quality NWs via these bilayered film catalysts.

Additionally, the NWs can still grow with increasing Cu or Ni ratio in the Cu-Au and Ni-Au layers as shown in Figure 7, including the growths using catalysts (a) 3 nm Cu/3 nm Au, (b) 5 nm Cu/1 nm Au, (c) pure 5 nm Cu, (d) 3 nm Ni/3 nm Au, (e) 1 nm Ti/5 nm Au, and (f) 1 nm Cr/5 nm Au. However, the growths exhibit a mixed morphology of NWs and nanoplates (NPs), most likely a result of varying the metal content of the nucleated catalyst islands. Figure 7(b) is a typical image with clear NWs and NPs obtained on the growth using 5 nm Cu/1 nm Au catalysts. Although the NWs grow by the vapor-liquid-solid (VLS)

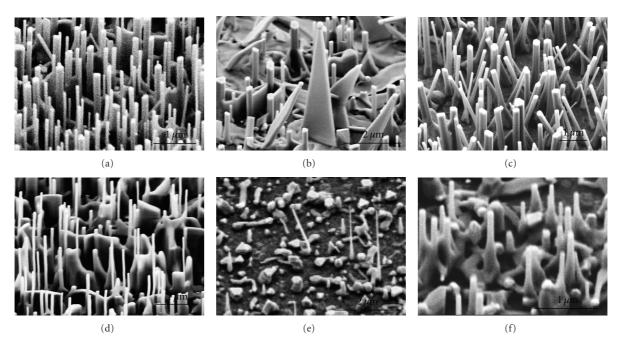


FIGURE 7: SEM images of ZnO nanostructures growth with different alloy or pure metal catalysts on sapphire. (a) Cu/Au = 3 nm/3 nm; (b) Cu/Au = 5 nm/1 nm; (c) pure Cu = 5 nm; (d) Ni/Au = 3 nm/3 nm; (e) Ti/Au = 1 nm/5 nm; (f) Cr/Au = 1 nm/5 nm.

mechanism, the NPs most likely grow by a combination of VLS-VS (vapor-solid) mechanism, which has been reported by Dong et al. and Dai et al. [24, 25], in the CdSe and CdS system. In our case, during the early stage of the growth, ZnO NWs grew from the liquid supersaturated eutectic Zn/Au/Cu mixture by the VLS mechanism. In the process of the ZnO growth, the metal alloy remains at the tip of the NW, which is clearly shown in the SEM images. At the same time, excess Zn vapor is deposited on the sidewalls of some of the alreadygrown NWs, resulting in the NPs growth, involving both VLS and VS growth modes. Moreover, pure Ni, Cu, Ti/Au, and Cr/Au layers were also investigated. Sparse vertical ZnO NWs were obtained using Cr 1 nm/Au 5 nm as the bi-layer catalyst, whereas very few NWs grew using Ti 1 nm/Au 5 nm. Table 1 presents the detailed information of pure metal or metal layers component catalysts, the morphology of the growth, the length, and the diameters of the resulting ZnO nanostructures as grown.

It should be pointed out that, in the case of the bilayered metal catalysts, we are dealing with a three-component system, which is a much more complicated thermodynamic case than the standard two-component system. In the case of the two-component system, it is fairly easy to obtain a phase diagram for the two constituents and from that determine the potential for the formation of a eutectic, as well as the expected eutectic temperature. In our case, however, we are dealing with a metal (Cu, Ni, Ti, or Cr)-Au-Zn that will form ternary phases. Unfortunately, these ternary phase diagrams for temperatures of interest are not available in the literature. Thus, it is impossible to obtain the eutectic point or temperature information from a phase diagram, and thus it is necessary to provide experimental data for the viability of the growth process of these NWs.

It is well known that the Scotch tape is commonly used to investigate the adhesion of metal film on a substrate [26–28]. In our study, the very thin layer of 1 nm Cu or 1 nm Ni deposited prior to the Au layer passed this Scotch tape test successfully, without causing any metal detachment, while a pure gold layer partially peeled off, confirming the need for the use of a bilayered metal.

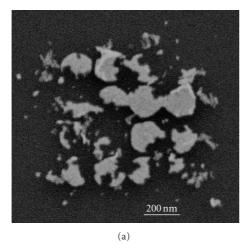
The improvement of adhesive capability of gold on sapphire was further confirmed by e-beam lithography experiments, which are shown in Figure 8. It is clear that part of the metal layer delaminated when pure gold was used as a lift-off metal in acetone after e-beam pattern writing. In fact, the e-beam pattern lithography results in the loss of the designed pattern completely as shown in Figure 8(a). However we successfully obtained a designed pattern when a thin layer of 1 nm Ni was introduced under gold (Figure 8(b)), which clearly demonstrates the enhancement of the metal adhesion. It is well known that the formation of a Ni-O bond is much easier than that of an Au-O bond, which can enhance the adhesion of Ni on a substrate [29]. Indeed Au is an inert metal in the air, and this property obviously decreases its stickiness to the substrate. Furthermore, it has been well established by the semiconductor device community that the adhesion of Au can be significantly improved by the addition of a Ni or Cr

4. Conclusion

High-quality vertically aligned ZnO NW arrays were grown for the first time using bilayered metal catalysts of Ni/Au and Cu/Au, respectively. We show that their structural and

Samples	Thickness of metal (nm)	Morphology	NW length	NW diameter (nm)
A	Cu: Au = 1 nm: 5 nm	Vertically aligned NW	>5 µm	20–200
В	Cu: Au = 3 nm: 3 nm	Vertical NW	$>2 \mu m$	20-200
С	Cu: Au = 5 nm: 1 nm	Mixture growth of vertical NW and nano-wall	$<2 \mu m$	50-400
D	Ni: Au = 1 nm: 5 nm	Vertically aligned NW	$>$ 5 μ m	20-200
E	Ni : Au = 3 nm : 3 nm	Vertically NW and nano-wall	$500 \mathrm{nm}$ – $2\mu\mathrm{m}$	50-300
F	Cu = 5 nm	Mostly vertical NW	$>2 \mu m$	50-300
G	Ni = 5 nm	Few sparse and short NW	<200 nm	30-60
Н	Ti: Au = 1 nm: 5 nm	Few vertical NW	$<3 \mu m$	60-150
I	Cr: Au = 1 nm: 5 nm	Sparse and vertical NW	$400\mathrm{nm}$ – $2\mu\mathrm{m}$	60-200
J	Au = 5 nm	Vertically aligned NW	>5 um	20-200

TABLE 1: Experimental growth conditions and results of ZnO NW samples.



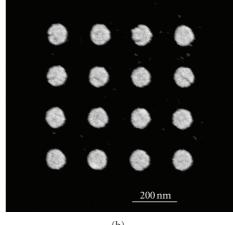


FIGURE 8: E-beam lithography and following lift-off results with (a) pure gold and (b) Ni/Au = 1 nm/5 nm alloy.

optical properties are comparable to those of pure Aucatalyzed NWs. An advantage in this case is that Ni/Au or Cu/Au bi-layers display better adhesion properties than a pure gold catalyst, enabling potential patterning of these ZnO nanowire arrays prior to growth, which is critical for potential plasmonic applications. Due to the VLS growth process, we can also demonstrate high selectivity of the vertical ZnO NW array growth, which occurs only in the patterned catalyst region. This work can have a significant impact on the ability to form very tightly spaced ordered nanowire arrays for plasmonic applications, which are not possible currently due to the adhesion problems of the Au dots formed by e-beam lithography, providing a direct route for the growth of vertically aligned ZnO NWs in any desired pattern for novel nanoscale plasmonic structures.

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